| SEC Form 4 | |
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|----------------------------------------|
| Section 16. Form 4 or Form 5 |
| obligations may continue. See |
| Instruction 1(b). |

1. Name and Address of Reporting Person*

(First)

(State)

Swann John William III

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading Symbol

3. Date of Earliest Transaction (Month/Day/Year)

SPX CORP [SPXC]

03/04/2019

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

| Act of 1934 1940 | | | |
|---------------------|---|------------------------------------------|-----------------------|
| | | tionship of Reporting all applicable) | Person(s) to Issuer |
| | | Director | 10% Owner |
| | X | Officer (give title below) | Other (specify below) |
| | | PresWeil McCla | ain, MEP & Radio |

6. Individual or Joint/Group Filing (Check Applicable

Form filed by One Reporting Person

Form filed by More than One Reporting

Line)

Х

Person

C/O SPX CORPORATION 13320-A BALLANTYNE CORPORATE PLACE (Street) CHARLOTTE NC 28277

(Middle)

(Zip)

| , | | |
|---|-------|--|
| | Citra | |
| (| City) | |

(Last)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

4. If Amendment, Date of Original Filed (Month/Day/Year)

| Table 1 - Non-Derivative decunities Acquired, Disposed of, or Denenotary Owned | | | | | | | | | | | |
|--------------------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------|-----------------------------|---|-------------------------------------------------------------------------|---------------|---------|---------------------------------------------------------------------------|-------------------------------------------------------------------|-------------------------------------------------------------------|--|
| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | Transaction Code (Instr. | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150.4) | |
| Common Stock | 03/04/2019 | | F ⁽¹⁾ | | 1,388 | D | \$36.48 | 64,162 ⁽²⁾ | D | | |
| Common Stock | | | | | | | | 2,690 | Ι | 401(k) Plan | |

| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned | ł |
|-------------------------------------------------------------------------------|---|
| (e.g., puts, calls, warrants, options, convertible securities) | |

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | 5. Nu of Deriv Secu Acqu (A) of Dispo of (D) (Instr and 5 | vative rities lired r osed) r. 3, 4 | 6. Date Exerci Expiration Dat (Month/Day/Ye | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------------------------------------|-----------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------|------------------------------|---|--------------------------------------------------------------------------------------|--------------------------------------------------------|---------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------|-----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|--------------------------------------------------------------------|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Employee stock option to purchase common stock | \$12.36 | | | | | | | 10/13/2018 ⁽³⁾ | 10/13/2025 | Common Stock | 66,535 | | 66,535 | D | |
| Employee stock option to purchase common stock | \$ 12.85 | | | | | | | 03/02/2019 ⁽⁴⁾ | 03/02/2026 | Common Stock | 31,776 | | 31,776 | D | |
| Employee stock option to purchase common stock | \$27.4 | | | | | | | 03/01/2020 ⁽⁵⁾ | 03/01/2027 | Common Stock | 13,214 | | 13,214 | D | |
| Employee stock option to purchase common stock | \$32.69 | | | | | | | 02/22/2021 ⁽⁶⁾ | 02/22/2028 | Common Stock | 12,361 | | 12,361 | D | |
| Employee stock option to purchase common stock | \$36.51 | | | | | | | 02/21/2022 ⁽⁷⁾ | 02/21/2029 | Common Stock | 13,556 | | 13,556 | D | |

Explanation of Responses:

1. Shares delivered to the issuer for the payment of withholding taxes due upon the vesting of restricted stock units previously granted under the SPX Corporation 2002 Stock Compensation Plan.

2. Includes unvested restricted stock units.

3. Vests as to 100% of the shares on the third anniversary of the grant date.

4. Vests in three equal installments beginning on March 2, 2017.

5. Vests in three equal installments beginning on March 1, 2018.

6. Vests in three equal installments beginning on February 22, 2019.

7. Vests in three equal installments beginning on February 21, 2020.

<u>Stefanie Holland, Attorney in</u> Fact for John William Swann,

III

vann, <u>03/06/2019</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.