SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person* Swann John William III				r Name and Ticker CORP [SPXC		rmbol	(Check	tionship of Reporting all applicable) Director Officer (give title	10% C			
(Last) (First) (Middle) C/O SPX CORPORATION 13320-A BALLANTYNE CORPORATE PLACE				of Earliest Transac 2019	tion (Month/D	ay/Year)	X Onicer (give the Outer (specify below) below) PresWeil McClain,MEP & Radio					
				endment, Date of C	Driginal Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable					
(Street) CHARLOTTE	NC	28277					Line) X	Form filed by One Form filed by Mor Person				
(City)	(State)	(Zip)						Person				
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security	(Instr. 3)		2. Transaction	2A. Deemed Execution Date.	3. Transaction	4. Securities Acquired (A) Disposed Of (D) (Instr. 3.)		5. Amount of Securities	6. Ownership Form: Direct	7. Nature of		

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3.4. SecuritieTransactionDisposed (Code (Instr.5)8)5)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	02/21/2019		A ⁽¹⁾		14,808	A	(1)	78,181 ⁽²⁾	D	
Common Stock								2,668	Ι	401(k) Plan

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		n of		6. Date Exerci Expiration Dat (Month/Day/Ye	e	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee stock option to purchase common stock	\$12.36							10/13/2018 ⁽³⁾	10/13/2025	Common Stock	66,535		66,535	D	
Employee stock option to purchase common stock	\$12.85							03/02/2019 ⁽⁴⁾	03/02/2026	Common Stock	31,776		31,776	D	
Employee stock option to purchase common stock	\$27.4							03/01/2020 ⁽⁵⁾	03/01/2027	Common Stock	13,214		13,214	D	
Employee stock option to purchase common stock	\$32.69							02/22/2021 ⁽⁶⁾	02/22/2028	Common Stock	12,361		12,361	D	
Employee stock option to purchase common stock	\$36.51	02/21/2019		A ⁽⁷⁾		13,556		02/21/2022 ⁽⁸⁾	02/21/2029	Common Stock	13,556	(7)	13,556	D	

Explanation of Responses:

1. Grant of restricted stock units under the SPX Corporation 2002 Stock Compensation Plan.

2. Includes unvested restricted stock units.

3. Vests as to 100% of the shares on the third anniversary of the grant date.

4. Vests in three equal installments beginning on March 2, 2017.

5. Vests in three equal installments beginning on March 1, 2018.

6. Vests in three equal installments beginning on February 22, 2019.

7. Grant of stock option pursuant to the SPX Corporation 2002 Stock Compensation Plan.

8. Vests in three equal installments beginning on February 21, 2020.

<u>Stefanie Holland, Attorney in</u> <u>Fact for John William Swann,</u> <u>III</u>

02/25/2019

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.