# SEC Form 4

 $\square$ 

stock Employee stock option to purchase

common stock

Employee stock option to purchase

common stock

Employee stock option to purchase

common stock

Employee stock

option to purchase common stock \$1<mark>2.8</mark>5

\$27.4

\$32.69

\$36.51

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

	ions may cont tion 1(b).	inue. See		File	ed pur oi	rsuan r Sec	t to Sec tion 30	ction 1 (h) of t	6(a) c he Inv	of the Se vestmer	ecuriti nt Cor	es Excha npany Ac	nge Act of 1940	of 1934	ļ		hours	per res	sponse:	0.5	
	nd Address o E <mark>ugene Jo</mark>	2.1	or Section 30(h) of the investment Company Act of 1940         2. Issuer Name and Ticker or Trading Symbol         SPX CORP       [SPXC]									(Che	5. Relationship of Reportir (Check all applicable) X Director		g Pers	on(s) to Issu 10% Ov					
(Last) (First) (Middle) C/O SPX CORPORATION							3. Date of Earliest Transaction (Month/Day/Year) 02/22/2019									below)	Officer (give title below) President and			specify	
13320-A	BALLAN																				
(Street) CHARL	(Street) CHARLOTTE NC 28277					4. If Amendment, Date of Original Filed (Month/Day/Year)									Line)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting					
(City)	(5	State)	(Zip)													Person					
		Та	ble I - No	n-Deriv	/ativ	ve So	ecurit	ties A	Acqı	uired,	Dis	posed	of, or I	Bene	ficially	y Owned					
1. Title of Security (Instr. 3) 2. Transa Date (Month/D							2A. Deemed Execution Date, if any (Month/Day/Year			Code (Instr.		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4			5. Amou Securitie Beneficia Owned F Reported	es ally Following	Form (D) o	: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
										Code	v	Amount	(A (D	or	Price	Transact (Instr. 3 a	ion(s)			(1130. 4)	
Common Stock 02/22/						19				<b>A</b> <sup>(1)</sup>		31,15	31,150 A		\$36.5	1 418,	418,756 <sup>(3)</sup>		D		
Common Stock 02/22/					2/201	19				<b>F</b> <sup>(2)</sup>		48,58	,582 D \$		<b>\$36.5</b> 2	1 370,	70,174 <sup>(3)</sup>		D		
Common Stock																3,639				401(k) Plan	
			Table II -									osed of onvert				Owned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year	3A. Deemed Execution Date, if any (Month/Day/Year)		4. Transaction Code (Instr. 8)		of Deriv Secu Acqu (A) o Disp of (D	osed )) r. 3, 4	Exp	ate Exer iration E nth/Day/	Date		of Secu Underly Derivat	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securitie: Beneficia Owned Following Reported Transacti (Instr. 4)	e Ownersl s Form: Ily Direct (E or Indire ) (I) (Instr.	Ownership	Beneficial ) Ownership ct (Instr. 4)	
				c	Code	v	(A)	(D)	Date	e rcisable	E: D	xpiration ate	Title	or Nu	iount mber Shares						
Employee stock option to purchase common stock	\$12.36								10/1	13/2018 <sup>(4</sup>	4) 10	)/13/2025	Commo Stock	<sup>n</sup> 33	2,673		332,61	73	D		
Employee stock option to purchase	\$21.16								01/0	)2/2018 <sup>(§</sup>	5) 0:	1/02/2025	Commo Stock	<sup>n</sup> 49	5,776		45,77	6	D		

03/02/2019<sup>(6)</sup>

03/01/2020<sup>(7)</sup>

02/22/2021<sup>(8)</sup>

02/21/2022<sup>(9)</sup>

Common Stock

Common Stock

Common Stock

Common Stock 186,919

82,405

72,298

77,463

186,919

82,405

72,298

77,463

D

D

D

D

03/02/2026

03/01/2027

02/22/2028

02/21/2029

1. Grant of shares under the SPX Corporation 2002 Stock Compensation Plan for achievement of performance for the 2016-2018 performance period.

2. Shares delivered to the issuer for the payment of withholding taxes due upon the vesting of restricted stock units previously granted under the SPX Corporation 2002 Stock Compensation Plan. 3. Includes unvested restricted stock units.

- 4. Vests as to 100% of the shares on the third anniversary of the grant date.
- Vests as to 100% of the shares on the unit anniversary of the grant dat
   Vests in three equal installments beginning on January 2, 2016.
- Vests in three equal installments beginning on March 2, 2017.
- Vests in three equal installments beginning on March 1, 2018.
- 7. vests in three equal histannients beginning on March 1, 2010.
- 8. Vests in three equal installments beginning on February 22, 2019.
- 9. Vests in three equal installments beginning on February 21, 2020.

Stefanie Holland, Attorney inFact for Eugene Joseph Lowe0

III

02/26/2019

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.