SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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					r Name and Ticker CORP [SPXC		mbol		tionship of Reporting all applicable) Director	10% C	Owner
(Last) (First) (Middle) C/O SPX CORPORATION 13320-A BALLANTYNE CORPORATE PLACE					of Earliest Transact 2019	tion (Month/D	ay/Year)	X	Officer (give title below) Pres S. Afric	below	
(Street)				4. If Ame	endment, Date of C	Driginal Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Form filed by One		
CHARLOTTE	NC	28277							Form filed by Mor Person		
(City)	(State)	(Zip)									
		Table I - Nor	n-Derivat	tive Se	ecurities Acqu	ired, Disp	osed of, or Benefi	cially (Dwned		
1. Title of Security	(Instr. 3)		2. Transact Date (Month/Day		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)

		(Month/Day/Year)	8)					Owned Following Reported	(I) (Instr. 4)	Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	02/21/2019		A ⁽¹⁾		17,628	Α	(1)	86,980 ⁽²⁾	D	
Common Stock								2,260	Ι	401(k) Plan

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

				-				-			-				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerci Expiration Dat (Month/Day/Ye	te	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee stock option to purchase common stock	\$12.36							10/13/2018 ⁽³⁾	10/13/2025	Common Stock	66,535		66,535	D	
Employee stock option to purchase common stock	\$12.85							03/02/2019 ⁽⁴⁾	03/02/2026	Common Stock	37,383		37,383	D	
Employee stock option to purchase common stock	\$27.4							03/01/2020 ⁽⁵⁾	03/01/2027	Common Stock	18,471		18,471	D	
Employee stock option to purchase common stock	\$32.69							02/22/2021 ⁽⁶⁾	02/22/2028	Common Stock	16,326		16,326	D	
Employee stock option to purchase common stock	\$36.51	02/21/2019		A ⁽⁷⁾		16,138		02/21/2022 ⁽⁸⁾	02/21/2029	Common Stock	16,138	(7)	16,138	D	

Explanation of Responses:

1. Grant of restricted stock units under the SPX Corporation 2002 Stock Compensation Plan.

2. Includes unvested restricted stock units.

3. Vests as to 100% of the shares on the third anniversary of the grant date.

4. Vests in three equal installments beginning on March 2, 2017.

5. Vests in three equal installments beginning on March 1, 2018.

6. Vests in three equal installments beginning on February 22, 2019.

7. Grant of stock option pursuant to the SPX Corporation 2002 Stock Compensation Plan.

8. Vests in three equal installments beginning on February 21, 2020.

Stefanie Holland, Attorney in Fact for J. Randall Data ** Signature of Reporting Person

02/25/2019

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.